

Report to the Audit Committee

29 September 2025

Wards: All

Local Government and Social Care Ombudsman and Housing Ombudsman Service – Annual Report

Report of the Director of Legal Services and Partnerships

This item is not exempt Therefore exempt reasons are not applicable

This is a non-key decision.

1. Purpose of the Report and Summary

- 1.1 To provide details of the Council's Annual Review Letter 2024-25 about complaints made to the Local Government and Social Care Ombudsman (LGSCO) and the Housing Ombudsman Service for the year ending 31 March 2025 and the actions the Council has taken to resolve them.
- 1.2 To provide an annual report on the Councils Complaint performance in respect of our role as Landlord
- 1.3 The LGSCO Review Letter is reported annually to the Council's Audit Committee in relation to meeting its governance processes. This is part of the Council's statutory duty under section 5 (2) of the Local Government and Housing Act 1969 which requires the Monitoring Officer to prepare a formal report to the Council where the LGSCO has conducted an investigation in relation to matters where there has been fault or service failure, and where the authority has complied with the LGSO's recommended remedy.
- 1.4 The report ensures we comply with the Housing Ombudsman Service Statutory Complaint Handling Code which requires that a Landlord must produce an annual complaints performance and service improvement report for scrutiny and challenge.

2. Recommendations

2.1 The Committee is asked to note the contents of this report and the degree of assurance this provides in relation to the Council's governance arrangements.

- 2.2 That the Committee continues to receive an annual report to inform its role in considering the Council's Annual Governance Statement and maintaining appropriate oversight with regard to the potential receipt of any public reports from the Local Government and Social Care Ombudsman.
- 2.3 That the Committee continues to receive an annual report to comply with the requirements of the Housing Ombudsman Service's Complaint Handling Code.

3. Reasons for Recommendations

- 3.1 The recommendations take into account the number of complaints made to the Ombudsmen, against the volume of complaints received by the Council. No Public Reports have been issued for the Council for the tenth consecutive year. The report recommendations acknowledge the requirement for ongoing vigilance to ensure the Council's responsive position regarding complaints can be maintained.
- 3.2 The recommendations also ensure we comply with the statutory requirements of the Housing Ombudsman Service Complaint Handling Code.
- 4. <u>Impact on other Executive Committees (including Area Committees)</u>
 - 4.1 None

5. <u>Background</u>

- 5.1 The Local Government and Social Care Ombudsman have published their Annual Review of Local Government Complaints 2024-25. A Copy of the report can be viewed here. They have also published the individual letter for Kingston Upon Hull City Council (Appendix 1)
- 5.2 The letter focuses on the period from 1st April 2024 to 31st March 2025 and includes data relating to complaints where a decision was made within that period.
- 5.3 The following tables provide information regarding the total numbers of complaints received by HCC and how many conclude with the customer referring their complaint to the LGSCO Ombudsman. As can be seen, it is a very small percentage, which provides the insight that in the vast majority of cases (97.75%) we are managing to resolve complaints without the need for LGSCO involvement.

Table 1

Year	Total Number of Stage 1 Complaints Received by HCC	Number of Contacts / Complaints Received by Ombudsman	% of Total Complaints referred to Ombudsman
2017-18	3172	76	2.40%
2018-19	2886	68	2.36%
2019-20	3007	57	1.90%
2020-21	2297	53	2.30%
2021-22	2170	67	3.08%
2022-23	1557	56	3.59%
2023-24	2690	44	1.63%
2024-25	3019	68	2.25%

There has been a significant increase in the numbers of complaints made into the Council in comparison to two years ago, however the number of complaints made to the Ombudsman has not increased at the same rate.

5.4 The next table splits complaints regarding the Council's role as a Landlord, which fall under the remit of the Housing Ombudsman Service. I have only been able to split this data for the past 4 years. (additional Housing Data is provided later in the report)

Table 2

Year	Number of Stage 1 Complaints Received by HCC (LGSCO)	Number of Stage 1 Complaints relating to our role as Landlord
2021-22	1303	867
2022-23	1036	521
2023-24	1930	760
2024-25	2295	724

Both the Local Government & Social Care Ombudsman and the Housing Ombudsman service publish the details of each local authority's or landlords performance on its website.

The following table provides information regarding the LGSCO outcomes.

The total number of complaints referred to the Ombudsman has seen an increase this year to the highest in the past 5 years however the number of detailed investigations carried out by the Ombudsman has remained static. It is notable that this is a smaller percentage than in previous years, only 16% progressed to a detailed investigation (down from 25% last year).



Table 3 – Overall referral Rate.

Once again, the Council has achieved a 100% compliance rate for implementing the Ombudsman's recommendations within the given time scale.

5.5 The final table outlines all enquiries to the LGSCO and their outcome broken down into the services areas.

Table 4

Service Areas	Upheld	Not Upheld	Other*
Education & Childrens Services	7	0	13
Adult Care Services	0	0	7
Benefits and Tax	0	0	1
Corporate & Other Services	0	1	6
Environmental Services & Public Protection & Regulation	0	1	6
Highways & Transport	0	0	3
Housing	0	0	7
Planning and Development	1	0	0
Other	0	0	1

^{*} Other includes – Referred back for local resolution, invalid, advice given closed after initial enquiries and Signpost - go to complaint handling.

One complaint which was referred back to the Council was taken back to the Ombudsman.

- 5.6 The LGSCO compares similar type authorities to gauge performance. We are in the group of unitary authorities and are therefore compared against those. I have provided a document which shows our performance when measured against other unitary authorities who are closest to us for population size. (Appendix 2)
- 5.7 The LGSCO previously introduced measures against per 100,000 residents. The Council had performed well in comparison to the averages provided for similar type authorities (Appendix 2)

6. Issues for Consideration

- 6.1 The Council's complaints performance reported by the Local Government and Social Care Ombudsman is in a strong position within our most similar group as summarised in Appendix 3 to this report. The Ombudsman has continued to measure against per 100,000 residents. The Council is below the average number of upheld decisions per 100,000 residents (HCC 2.9, average 5.3) https://www.lgo.org.uk/your-councils-performance
- 6.2 Appendix 4 provides brief details and remedies undertaken by the Council's service areas for the 10 upheld complaints.

These are broken down across the service areas as follows:

Children, Young People and Family Services – **7** Planning - **1** Housing (HOS) - **2**

Of the upheld cases, 7 were reported to the LGSCO in this reporting period 24-25, 1 had carried over from 23-24 (Children, Young People and Family Services).

None of the upheld cases for the HOS had carried over from 23-24.

The complaints with recommendations for remedy are not repeats of those returned in previous periods.

- 6.3 The Children, Young People and Family Services has seen an increase in the number of new cases received by the Ombudsman over the period 2024-25. The Ombudsman received 32 enquiries regarding Education and Children's Services (increased from 18 for period 2023-24). Decisions were made regarding 20 cases. Of these 6 new cases progressed to investigation, 3 were referred back for local resolution (premature) and 10 were closed after initial enquiries. 1 was incomplete/invalid. The remainder will be reported next year.
 - 2 Statutory investigations were upheld by the LGSCO

5 Corporate investigations were upheld by the LGSCO

The Statutory Complaints procedure is laid out in the Childrens Act 1989 and relates to children's social care, covering topics such as Fostering and Adoption, Safeguarding and Child Protection.

Corporate Complaints are covered by the Corporate Complaints Scheme and include issues regarding Special Educational Needs, EHC Plans, Home to School Transport and Early Years.

<u>Table 5</u>

Childrens Services Complaints

Service	19/20	20/21	21/22	22/23	23/24	24/25
Area						
Children's	73	135	72	61	94	141
Corporate						
Complaints						
Children's	254	157	252	154	79	86
Statutory						
Complaints						
Total	327	292	324	215	173	227

The Ombudsman has noted in her Annual Review that they have seen a growth in complaints about special educational needs provision. These complaints make up 27% of all their cases, with 91% being upheld. The Ombudsman has approached the Government to request urgent changes are made to national policy.

6.4 Adult Social Care Services has seen a slight increase in the number of new cases investigated by the Ombudsman over the period 2024-25. The Ombudsman received 9 enquiries regarding Adult Social Care (up from 8 in 2023-24). Of these new cases, 2 progressed to investigation, 3 were referred back to the Council as premature, 3 were closed after initial enquiries and advice was given to the final one

One Statutory Investigations was not upheld by the Ombudsman. The second was also not upheld, however was not within this reporting period.

Adult Social Care received a total of 85 complaints during the period 2024-25. Of these 68 were resolved informally with 17 progressing to investigation.

6.5 **The Neighbourhoods and Housing Service** have remained static in the number of enquiries received from the LGSCO over the period 2024-25. The LGSCO considers housing complaints about matters such as homelessness and allocations. The LGSCO received 7 enquiries regarding Housing (not repairs). Of these new cases 0 progressed to investigation, 1 was referred back for local resolution and 6 were closed after initial enquiries.

The LGSCO annual report states that Housing complaints are their second highest category at 17%, therefore our performance in this area is strong.

The Council received 11 new enquiries from the Housing Ombudsman Service. 2 complaints were upheld (Maladministration/Service Failure), 2 were not upheld (No Maladministration). The remainder have carried over and will be reported next year.

- 6.6 The Housing Ombudsman Service Complaint Handling Code came into effect in April 2024. Section 8.1 of the Code outlines what information must be included in this annual complaint's performance and service improvement report which are as follows:
 - a. the annual self-assessment against this Code to ensure their complaint handling policy remains in line with its requirements.
 - a qualitative and quantitative analysis of the landlord's complaint handling performance. This must also include a summary of the types of complaints the landlord has refused to accept;
 - c. any findings of non-compliance with this Code by the Ombudsman:
 - d. the service improvements made as a result of the learning from complaints;
 - e. any annual report about the landlord's performance from the Ombudsman; and
 - f. any other relevant reports or publications produced by the Ombudsman in relation to the work of the landlord.

A copy of the Housing Ombudsman Service Landlord Performance report 2024-25 (from the Ombudsman) is attached at Appendix 5

A copy of the current self-assessment is attached at Appendix 6. It is also available to view at <u>Housing ombudsman complaint code</u> <u>self-assessment | Hull</u>

The required qualitative and quantitative analysis of the complaint handling performance for Neighbourhoods and Housing is available at Appendix 7. This report covers points b, c and d above

6.7 <u>Table 4 – Summary Housing Ombudsman Outcomes</u>

	19-	20-	21-	22-	23-	24-
	20	21	22	23	24	25
Enquiries	12	3	15	8	10	11
Received						
Determinations	3	3	4	6	3	4
Received *						
No	2	2	3	2	0	2
Maladministration						
Maladministration/	1	1	1	4	3	2
Service Failure						

^{*}The figure for determinations will not match that of Enquiries received due to a time lag from cases which are carried forward from/to other years

6.8 Equalities Impact Information

There is no data available

7. Options and Risk Assessment

7.1 There is no risk attached to the recommendations in this report. No options are provided because the report is essentially presenting matters of fact for consideration by the Committee.

8. Consultation

8.1 Whilst no specific consultation has been carried out, the contents of this report and that of the Annual letter have been shared with the Council's Monitoring Officer and Senior Leadership Team.

9. Comments of the Monitoring Officer(Town Clerk)

9.1 The report presents a comprehensive update on the current positions with both Local Government and Social Care Ombudsman and Housing Ombudsman Service. The report as presented does

not raise any substantive legal issues which the committee needs to take into account when considering the Recommendations (SL)..

- 10. <u>Comments of the Section 151 Officer (Director of Finance and Transformation)</u>
 - 10.1 The Section 151 officer notes the report and recommendations (CM).
- 11. Comments of the Assistant Director OD & HR and compliance with the Equality Duty
 - 11.1 The content of the report is noted. KH
- 12. Comments of the Portfolio Holder
 - 12.1 I am satisfied that the report and Annual Letter presents comprehensive record for the year, providing:-
 - the required Annual Review Letter detailing complete cases and associated actions;
 - the Annual Report provides the compliance in respect of the role of the landlord:
 - and compliance with the LGSCO and Housing Ombudsman Service."

Reference no PF0092 [JD]

- 13. Comments of Overview and Scrutiny
 - 13.1 This report has not been subject to scrutiny. Ref: Sc8951 [MK].

Director of Legal Services and Partnerships – Ian Anderson

Contact Officer: Michelle Garlick – Customer Feedback Team Leader Telephone No.: 01482 616249

Officer Interests: None

Background Documents:

Appendix 1 -Kingston Upon Hull Annual Review Letter 2025

Appendix 2 – LGSCO Regional Comparator 2024-25

Appendix 3 – LGSCO Comparator Table – Complaints Received by LGSCO 2024-25

Appendix 4 – LGSCO and HOS Resolution and Learning-2024-25

Appendix 5 – Landlord Report – Kingston Upon Hull City Council 2025

Appendix 6 – Housing Ombudsman Self-Assessment September 2025

Appendix 7 – Housing Performance 2024-25

Implications Matrix

This section must be completed and you must ensure that you have fully considered all potential implications

This matrix provides a simple check list for the things you need to have considered within your report

If there are no implications please state

I have informed and sought advice from HR, Legal, Finance, Overview and Scrutiny and the Climate Change Advisor and any other key stakeholders i.e. Portfolio Holder, relevant Ward Members etc prior to submitting this report for official comments	No
I have considered whether this report requests a decision that is outside the Budget and Policy Framework approved by Council	Yes
Value for money considerations have been accounted for within the report	Yes
The report is approved by the relevant City Manager	No
I have included any procurement/commercial issues/implications within the report	Yes
I have considered the potential media interest in this report and liaised with the Media Team to ensure that they are briefed to respond to media interest.	Yes
I have included any equalities and diversity implications within the report and where necessary I have completed an Equalities Impact Assessment and the outcomes are included within the report	No
Any Health and Safety implications are included within the report	No
Any human rights implications are included within the report	No
I have included any community safety implications and paid regard to Section 17 of the Crime and Disorder Act within the report	No
I have liaised with the Climate Change Advisor and any environmental and climate	No

change issues/sustainability implications are included within the report	
I have included information about how this report contributes to the City Plan/ Area priorities within the report	No
I have considered the impact on air quality, carried out an appropriate assessment and included any resulting actions or opportunities necessary to improve air quality in the report.	No